

32692

Customer Number

Patent  
Case No.: 58911US002

JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: JONES, CLINTON L.

Application No.: 10/662085

Group Art Unit: 1756

Filed: September 12, 2003

Examiner: Unknown

Title: DURABLE OPTICAL ELEMENT

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]**

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

2 Feb. 2005 *Amber Nicholson*  
Date Signed by: Amber Nicholson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Date

*2-2-05*  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

By:

*Carolyn Fischer*  
Carolyn A. Fischer, Reg. No.: 39,091  
Telephone No.: (651) 575-3915

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 2

Application Number

10/662085

Filing Date

September 12, 2003

First Named Inventor

Jones, Clinton L.

Art Unit

1756

Examiner Name

Unknown

Attorney Case Number

58911US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6291070	09-18-2001	Arpac et al.	
	A2	US- 2004/0233526	11-25-2004	Kaminsky et al.	
	A3	US- 4,487,904	12-11-1984	Fukuda et al.	
	A4	US- 4,542,449	09-17-1985	Whitehead	
	A5	US- 4,931,523	06-05-1990	Watanabe et al.	
	A6	US- 4,937,172	06-26-1990	Gervay	
	A7	US- 4,962,163	10-09-1990	Hefner, Jr. et al.	
	A8	US- 4,970,135	11-13-1990	Kushi et al.	
	A9	US- 5,066,750	11-19-1991	Hefner, Jr. et al.	
	A10	US- 5,149,776	09-22-1992	Kushi et al.	
	A11	US- 5,164,464	11-17-1992	Hefner, Jr. et al.	
	A12	US- 5,183,870	02-02-1993	Fukushima et al.	
	A13	US- 5,247,038	09-21-1993	Fukushima et al.	
	A14	US- 5,354,821	10-11-1994	Huver et al.	
	A15	US- 5,665,494	09-09-1997	Kawabata et al.	
	A16	US- 5,714,218	02-03-1998	Nishio et al.	
	A17	US- 5,908,874	06-01-1999	Fong et al.	
	A18	US- 5,948,514	09-07-1999	Komori et al.	
	A19	US- 6,107,364	08-22-2000	Fong et al.	
	A20	US- 6,107,364	08-22-2000	Fong et al.	
	A21	US- 6,218,074	04-17-2001	Dueber et al.	
	A22	US- 6,359,170	03-19-2002	Olson	
	A23	US- 6521677	02-18-2003	Yashiro et al.	
	A24	US- 6,541,591	04-01-2003	Olson et al.	

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	WO	01/29138	04.26.2001			

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

FEB 04 2003

Page 2 of 2

Application Number

10/662085

Filing Date

September 12, 2003

First Named Inventor

Jones, Clinton L.

Art Unit

1756

Examiner Name

Unknown

Attorney Case Number

58911US002

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B2	WO	03/076528	18.09.2003			
	B3	EP	1 014 113	28.06.2000			
	B4	WO	98/50442	12.11.1998			
	B5	WO	98/50805	12.11.1998			
	B6	WO	98/50441	12.11.1998			
	B7	WO	98/50806	12.11.1998			
	B8	WO	98/50340	12.11.1998			
	B9	WO	02/051892	04.07.2002			
	B10	WO	00/14050	16.03.2000			
	B11	WO	02/00594	03.01.2002			
	B12	WO	96/19347	27.06.1996			
	B13	EP	0 089 041	25.11.1987			
	B14	WO	01/51539	19.07.2001			
	B15	WO	00/06495	10.02.2000			
	B16	WO	03/033558	24.04.2003			

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Pending U.S. Patent Application No. 10/870366, filed June 17, 2004 (59812US002)	
	C2	Pending U.S. Patent Application Serial No. 10/436377, filed May 12, 2003 (58269US002)	
	C3		

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)